

Form PTO-1390

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

P21265

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371U.S. APPLICATION NO. (If known, see 37 CFR  
1.53)

09/868934

INTERNATIONAL APPLICATION NO.

PCT/JP00/00285

INTERNATIONAL FILING DATE

21 January 2000

PRIORITY DATE CLAIMED

22 January 1999

TITLE OF INVENTION

DICARBA-closo-DODECABORANE DERIVATIVES

APPLICANT(S) FOR DO/EO/US

Yasuyuki ENDO

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information.

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
  2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
  3. ☒ This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).
  4. ☒ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
  5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
    - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
    - b. ☒ has been communicated by the International Bureau.
    - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
  6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
  7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
    - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
    - b. ☐ have been communicated by the International Bureau.
    - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
    - d. ☐ have not been made and will not be made.
  8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
  9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).  
"Unexecuted"
  10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (U.S.C. 371(c)(5)).
- Items 11 to 16 below concern other document(s) or information included:
11. ☒ Assignee: INSTITUTE OF MEDICINAL MOLECULAR DESIGN, Inc. of Tokyo, JAPAN
  12. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
  13. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
  14. ☐ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
  15. ☐ A substitute specification.
  16. ☐ A change of power of attorney and/or address letter.
  17. ☐ Figure of Drawing to be published \_\_\_\_\_
  18. ☒ Other items or information:  
Cover Sheet and International Application as published(in Japanese).  
PCT/RO/101-PCT Request(in Japanese).  
PCT/IPEA/408(in Japanese).  
PCT/IPEA/409.  
PCT/IB/301.  
PCT/IB/304.  
PCT/IB/306.  
PCT/IB/308.  
PCT/IB/332.  
PCT/IB/338.  
PCT/ISA/210(in Japanese and English).  
Cover Letter under 35 USC 371 and 1.495.  
Claim of Priority.

U.S. APPLICATION NO. (If known, see 37  
1.5)

09/ 86893

INTERNATIONAL APPLICATION NO.  
PCT/JP00/00285ATTORNEY'S DOCKET NUMBER  
P2126519. X The following fees are submitted:

Basic National Fee (37 CFR 1.492(a)(1)-(5)):

Search report has been prepared by the EPO or JPO. . . . . \$ 860.00

International preliminary examination fee paid to USPTO (37 CFR 1.482). . . . . \$ 690.00

No international preliminary examination fee paid to USPTO (37 CFR 1.482) but  
international search fee paid to USPTO(37 CFR 1.445(a)(2)). . . . . \$ 710.00Neither international preliminary examination fee (37 CFR 1.482) nor  
international search fee (37 CFR 1.445(a)(2) paid to USPTO. . . . . \$1,000.00International preliminary examination fee paid to USPTO (37 CFR 1.482) and all  
claims satisfied provisions of PCT Article 33(2)-(4). . . . . \$ 100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

CALCULATIONS

PTO USE ONLY

\$860.00

Surcharge of \$130.00 for furnishing the oath or declaration later than \_\_\_ 20 \_\_\_ 30  
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$

Claims

Number Filed

Number Extra

RATE

Total Claims

7

- 20 =

0

X \$18.00

\$0.00

Independent Claims

3

- 3 =

0

X \$80.00

\$0.00

Multiple dependent claim(s) (if applicable)

+ \$270.00

\$0.00

TOTAL OF ABOVE CALCULATIONS =

\$860.00

Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced

\$

SUBTOTAL =

\$860.00

Processing fee of \$130.00 for furnishing the English translation later than \_\_\_ 20 \_\_\_ 30  
months from the earliest claimed priority date (37 CFR 1.492(f)).

+

Extension of Time fee in the amount of \$

TOTAL NATIONAL FEE =

\$860.00

Fee for recording the enclosed assignment (37 CFR 1.21(h). The assignment must be  
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property

+

TOTAL FEES ENCLOSED =

\$860.00

Amount to be  
refunded

\$

Charged

\$

a. X A check in the amount of \$860.00 to cover the above fees is enclosed.

b. \_\_\_ Please charge my Deposit Account No. \_\_\_ in the amount of \$ \_\_\_ to cover the above fees.

c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to  
Deposit Account No. 19-0089.NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and  
granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO CUSTOMER NO. 7055

AT THE PRESENT ADDRESS OF:

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